Proportional analogies in typed systems (HPSG?)

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Proportional analogies

In PA (proportional analogy) models of morphology, the different inflected forms of a word are not produced by inflectional rules, but simply associated by analogical relations.

A proportional analogy is expressed by the formula:

$$A:B::C:X \tag{1}$$

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meaning A is to B like C is to X. Where if we know A, B and C, we can deduce X .

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As an example:

(1) a. compro buy.1sg b. compras buy.2sg (2) a. paro stop.1sg b. X stop.2sg

compro:compras::paro:X

$$\Rightarrow$$
 X=paras

But also:

amár : amará : amára (3) parár : parará : parára

LOVE.INF LOVE.3SG.FUT.IND LOVE.1/3SG.PRES.SUBJ

For which there is no obvious morphemic segmentation, or function/semantic relation.

We can express analogies between paradigm cells by abstracting away the phonological material common to those cells:

$$(4) \hspace{1cm} \begin{matrix} \dot{\mathsf{X}} & : & \mathsf{X}\acute{\mathsf{a}} & : & \dot{\mathsf{X}}\mathsf{a} \\ \\ .\mathsf{INF} & .3\mathsf{sg.fut.ind} & .1/3\mathsf{sg.pres.subj} \end{matrix}$$

These are not 'rules' because the X and the segment a does not correspond to a morpheme and there is no feature composition between these cells.

I will call this the 'X-notation'

Advantages of PA models

There are several advantages of PA models:

- no need for morphemes
- (thus) no segmentation problem
- no transformations,
- no special rules,
- no rule orderings,
- no feature decompositions.

In short: they are very simple and intuitive.

And then there are problems (at least with the X-notation):

- there is no clear interface between the morphology and the rest of the grammar,
- only one level of abstraction (e.g. no abstraction across inflection classes),
- their status is unclear (what exactly are proportional analogies and how do they work!?),
- not properly formalized,
- hard(er) to implement as a complete system,
- incompatible with theories that require lexemes (or not? hard to tell).

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A concrete example with some Spanish verb cells:

	=;	ar	-е	er	=	ir
	regular	o-ue	regular	o-ue	regular	o-ue
infinitive 1sg present 2sg (tú) present 2sg (vos) present 3sg future 1sg imp.subj	cant-ár cánt-o cánt-as cant-ás cant-ará cant-ára	prob-ár pruéb-o pruéb-as prob-ás prob-ará prob-ára	com-ér cóm-o cóm-es com-és com-erá com-iéra	dol-ér duél-o duél-es dol-és dol-erá dol-iéra	sub-ír súb-o súb-es sub-ís sub-irá sub-iéra	mor-ír muér-o muér-es mor-ís mor-irá mur-iéra
	'sing'	'taste'	'eat'	'hurt'	'go up'	'die'

We can analyze the Spanish verbal inflection system as being composed of a stem (process), a stress pattern and a suffix or set of suffixes.

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We can define concrete analogical relations between any two cells in the previous examples.

- (5) a. amár:ámas::cantár:X
 - b. Yr:Ys
 - c. X = cántas

However, we cannot properly specify analogies covering more general patterns. For example, the analogy in (5) only covers *ar* and *er* verbs, but not *ir* verbs: *subir-subes*

Howerver, we miss the fact that for all three classes the second person singular present indicative has an -s marker.

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The difficulty with analogies is that it is not clear how to express independent partial patterns (stress, stem alternations, suffix markers), and then combine these individual patterns together.

A naive approach would not work. The stem alternations alone represent a problem:

- (6) a. Xar:Xo
 - b. XoYar:XueYo
- (6)a cannot capture stem alternating verbs, while (6)b cannot capture non-alternating verbs. Similarly, a partial analogy only for stem alternations as in (7) could not be unified with a partial analogy like in (6)a.
- (7) a. XoY:XueY

More over, (7) is exclusively making reference to stems (it does not mention suffix makers), but PA approaches do not assume stems.

Some generalizations we would like to express, but cannot:

- -o marks first person singular present indicative,
- stress patterns are identical across inflection classes,
- stem-Vs marks second person singular,
- some verbs share exactly the same stem alternation process even though they belong to different thematic vowels.

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Without proper formalization it is not clear how we can solve these issues, and whether these are limitations of the notation or of PAs themselves.

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Objectives

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This talk has aims to present a path to the formalization of a PA model which:

- retains he simplicity characteristic of analogical models (no rules, orderings, stems, morphemes, etc.),
- is completely surface oriented,
- allows for partial analogies,
- allows for lexemes,
- could potentially interact with syntactic theories,
- and lets us test the limits of analogy.

Basic assumptions

Basic assumptions

The basic assumptions are:

- Lexemes bundle together all their possible realizations.
- Analogical relations hold across phonological strings, not words.
- Analogical relations are constrains on phonological strings.
- Analogical relations are organized in an inheritance hierarchy according to degree of abstraction.

The basics

```
    [cell

    FEATURES
    fts-obj

    PHON
    phon-obj

    [cell
    features

    fts-obj
    phon-obj

    ...
    ...
```

Where FTS contains whatever features are associated with any given cell in the paradigm (syntax, agreement, semantics, pragmatics, etc.), and PHON a phonological string (whatever its representation may be).

Phonological representation?

```
 \begin{bmatrix} phon\text{-}obj \\ \text{WORD } list(syllable\text{-}obj) \end{bmatrix} \begin{bmatrix} syllable \\ \text{ONSET ...} \\ \text{RIME } \begin{bmatrix} \text{NUCLEUS ...} \\ \text{CODA ...} \end{bmatrix} \begin{bmatrix} vowel \\ \text{HIGH } +/- \\ \text{ROUND } +/- \\ \text{BACK } +/- \end{bmatrix}
```

cantar 'to sing'

```
lexeme-cantar
[FTS 1sg.pres.ind PHON /#canto#/],

[FTS 2sg.pres.ind PHON /#cantas#/],

[FTS 3sg.pres.ind PHON /#canta#/],

[FTS 1pl.pres.ind PHON /#cantamos#/],

[FTS 2pl.pres.ind PHON /#cantáis#/],

[FTS 3pl.pres.ind PHON /#cantan#/],

...
```

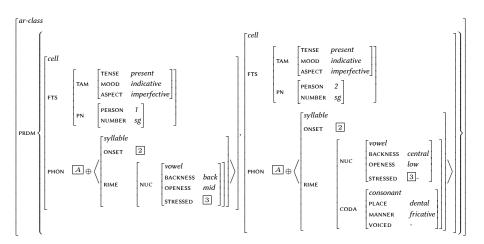
And similarly for comer and subir.

Ideally, however, we would like to define objects between the fully abstract *lexeme* type, and the fully specified *lexeme-cantar* type.

The idea of PA models is that we can reconstruct missing forms in a paradigm.

We do this by abstracting away the proportional analogies across all phonological strings in the paradigm of *cantar*, *comer*, *subir*, etc.

```
\begin{bmatrix} \text{ar-class} \\ \left[ \text{FTS 1sg.prs} & \text{PH } / X^1 \text{ o#} / \right] \\ \left[ \text{FTS 2sg.prs} & \text{PH } / X^1 \text{ os#} / \right] \\ \left[ \text{FTS 3sg.prs} & \text{PH } / X^1 \text{ os#} / \right] \\ \left[ \text{FTS 3sg.prs} & \text{PH } / X^1 \text{ os#} / \right] \\ \left[ \text{FTS 1pl.prs} & \text{PH } / X^1 \text{ os#} / \right] \\ \left[ \text{FTS 1pl.prs} & \text{PH } / X^2 \text{ amos#} / \right] \\ \left[ \text{FTS 2pl.prs} & \text{PH } / X^2 \text{ eis#} / \right] \\ \left[ \text{FTS 2pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \left[ \text{FTS 3pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \left[ \text{FTS 3pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \left[ \text{FTS 3pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \left[ \text{FTS 3pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \left[ \text{FTS 3pl.prs} & \text{PH } / X^2 \text{ ism} / \right] \\ \dots \end{bmatrix}
```



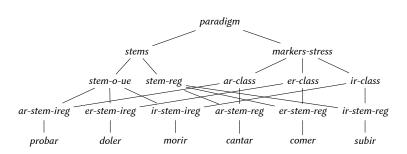
```
\begin{bmatrix} stress \\ & \left[ \text{FTS } 1sg\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \left[ \text{FTS } 2sg\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \left[ \text{FTS } 3sg\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \left[ \text{FTS } 1pl\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \left[ \text{FTS } 2pl\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \left[ \text{FTS } 3pl\text{-}pres \text{ PHON } / \sigma \sigma \# / \right], \\ & \dots \\ \end{bmatrix}
```

But since this pattern applies to all (*) verbs, we can think of it as a supper type of the individual patters for markers.

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$$\begin{bmatrix} \text{Stem-regular} \\ & & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & & \\ & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\ & & & \\ & & & & \\$$

Finally, we need a hierarchy to put everything together.



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But we can do more...

The issue is that these examples are easily captured with any theory. There are two aspects of PA systems, however, which are rarely if ever captures by constructive approaches. These are predictability/information and relations between cells.

The first has to do with the fact that knowing one cell of a paradigm might give us partial or complete information about the other cells in the paradigm. Similarly, knowing part of a cell in a paradigm might give us information about which cell it is.

The second issue has to do with the fact that some generalizations are better expressed as relations between two fully inflected cells, and not as a derivation starting from a stem.

Partial predicatability

$$\begin{bmatrix} o\text{-}marker \\ \\ \text{PARADIGM} \left\{ \begin{bmatrix} \text{FTS} & 1.present \\ \\ \text{PHON} & /\sigma_{[NUC/o/]}\#/ \\ \end{bmatrix} \right]$$

$$\begin{bmatrix} 1\text{-marker} & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\$$

	love	eat	feel
1sg.	am o	como	sient o
1pl.	amam o s	comem o s	sentim o s

•		love	eat	feel
•	1pl.	am o	como	sient o
	1pl.	ama mos	come mos	senti mos

Cell relations

	cantar	eat	go up
INF 1sg.cond	cantár cantaría	comér	subír subiría
1sg.fut	cantaré	comeré	subiré
1sg.imp.subj 3sg.fut	cantára cantarás	comiéra comerás	subiéra subirás
3sg.imp.subj	cantáras	comíéras	subíéras
3sg.pres	cánta	cóme	súbe
2sg.imp/ <i>tú</i>	cánta	cóme	súbe
2sg.imp/vos	cantá	comé	subí
2sg.imp/usted	cánte	cóma	súba
1sg.pret	canté	comí	subí
1sg.pres	cánto	cómo	súbo
3sg.pret	cantó	comió	subió

Cell relations

	cantar	eat	go up
1sg.imp.subj	cantára cantáras cantára cantáramos cantárais cantáran	comiéra	subiéra
2sg.imp.subj		comiéras	subiéras
3sg.imp.subj		comiéra	subiéra
1pl.imp.subj		comiéramos	subiéramos
2pl.imp.subj		comiérais	subiérais
3pl.imp.subj		comiéran	subiéran
1sg.cond	cantaría cantarías cantaría cantaríamos cantaríais cantarían	comería	subiría
2sg.cond		comerías	subirías
3sg.cond		comería	subiría
1pl.cond		comeríamos	subiríamos
2pl.cond		comeríais	subiríais
3pl.cond		comerían	subirían

In the imperfect:

	cantar	comer	subir
1.SG.IMP	cant-ába	com-ía	sub-ía
2.sg.imp	cant-ába-s	com-ía-s	sub-ía-s
3.sg.imp	cant-ába	com-ía	sub-ía
1.pl.imp	cant-ába-mos	com-ía-mos	sub-ía-mos
2.pl.imp	cant-ába-is	com-ía-is	sub-ía-is
3.pl.imp	cant-ába-n	com-ía-n	sub-ía-n

The same patter repeats for all but the indefinido:

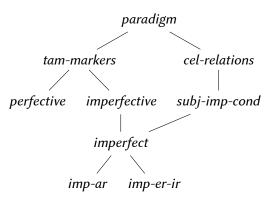
	cantar	comer	subir
1sg.ind	cant-é	com-í	sub-ío
2sg.ind	cant-asté	com-isté	sub-isté
3sg.ind	cant-ó	com-íó	sub-íó
1pl.ind	cant-a- mos	com-i- mos	sub-i- mos
2pl.ind	cant-asté- is	com-isté -is	sub-isté- is
3pl.ind	cant-aró- n	com-ier ó-n	sub-ieró- n

Which nonetheless retains the same markers for the plural (mos, is, n).

```
tam-markers
```

$$\left\{ \begin{bmatrix} \text{FTS} & 2sg.imperf \\ \text{PHON} & /s\# / \end{bmatrix} \right\} \qquad \left[\begin{bmatrix} \text{imp-ar} \\ \left\{ \begin{bmatrix} \text{FTS} & 3/1sg.imp \\ \text{PHON} & /ba\# / \end{bmatrix} \right\} \right] \qquad \left[\begin{bmatrix} \text{imp-er-ir} \\ \left\{ \begin{bmatrix} \text{FTS} & 3/1sg.imp \\ \text{PHON} & /ia\# / \end{bmatrix} \right\} \right]$$

```
\begin{bmatrix} \text{FTS} & \textit{1pl} \\ \text{PHON} & /\#X^1 \textit{mos\#/} \end{bmatrix}, \\ \begin{bmatrix} \text{FTS} & \textit{2pl} \\ \text{PHON} & /\#X^1 \textit{is\#/} \end{bmatrix}, \\ \begin{bmatrix} \text{FTS} & \textit{2pl} \\ \text{PHON} & /\#X^1 \textit{is\#/} \end{bmatrix}, \\ \begin{bmatrix} \text{FTS} & \textit{3pl} \\ \text{PHON} & /\#X^1 \textit{s\#/} \end{bmatrix} \end{bmatrix} \end{bmatrix} \begin{bmatrix} \text{FTS} & \textit{3sg.(subj} \lor \textit{ind.imp} \lor \textit{cond}) \\ \text{PHON} & /\#X^1 \#/ \end{bmatrix}, \\ \begin{bmatrix} \text{FTS} & \textit{3sg.(subj} \lor \textit{ind.imp} \lor \textit{cond}) \\ \text{PHON} & /\#X^1 \#/ \end{bmatrix}, \\ \begin{bmatrix} \text{FTS} & \textit{pl.(subj} \lor \textit{ind.imp} \lor \textit{cond}) \\ \text{PHON} & /\#X^1 / \end{bmatrix} \end{bmatrix}
                                                                                                                                                                                                                                                                                                                                                      subj-imp-cond
```



Morphemes and cell relations

We can express both 'morphemes' and cell relations with the same technique, at the same time, and at the same level of abstraction.

A few issues

Some difficult issues I can see so far:

- It is hard to model partial overabundance
- Morphemes with variable positions are tricky

Complete overabundance is easy

The imperfect subjunctive in Spanish has two possible realizations: -se and -ra. This applies to all verbs:

- (8) a. comiera comiese 'eat'
 - b. supiera supiese 'know'
 - c. amara amase 'love'
 - d. ...

We can model this by simply having several cells with identical morphosyntactic features but different phonological constraints: {[FTS 1sg.imp.subj PHON /#Xra#/], [FTS 1sg.imp.subj PHON /#Xse#/] }

Partial overabundance is harder

The issue arises with systems in which some items, but not all, are overabundant:

The Spanish plural system is relatively trivial:

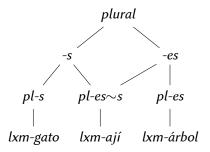
- (9) a. gato gatos ('cat')
 - b. perro perros ('dog')
 - c. árbol árboles ('tree')
 - d. baúl baules ('chest')

With some exceptions:

- (10) a. ají ajís \sim ajíes ('chili pepper')

Overabundance as multiple inheritance

An intuitive way to think about overabundance is as multiple inheritance:



But with normal unification we cannot grow the paradigm.

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What to do?

- A way around this is unification as in CxG (with set union), but...?
- maybe an exception just for paradigms...?
- having three independent plural classes seems wrong because it misses the fact one class is the combination of the other two.

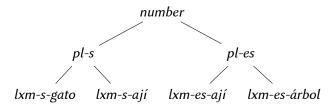
Naming cells?

Something like:

$$\begin{bmatrix} & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & &$$

Also seems quite wrong.

But maybe:



Variable morphotactics?

To stay on language, a lame example of variable morphotactics:

- (11) a. tóma drink.imp.2sg 'drink (it)!'
 - b. tóma-te (un jugo) drink.IMP.2SG-1.DAT (a juice) drink a juice (for your self)!
 - c. tóma-lo (*un jugo) drink.imp.2sg-2.acc 'drink-it'
 - d. tóma-te-lo drink.IMP.2sG-1.DAT-2.ACC 'drink it up!'

- a. tómas drink.imp.2sg '(you) drink'
- b. **te-**tómas (un jugo) 1.DAT-drink.IND.2sG (a juice) '(you) drink'
- c. **lo**-tómas (*un jugo) 2.ACC-drink.IND.2SG '(you) drink it'
 - d. **te-lo-**tómas 1.dat-2.acc-drink.ind.2sg '(you) drink it up'

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(Baby steps towards Neo Aramaic or Swahili)

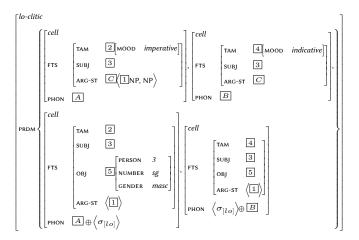
Floting morphemes will not work

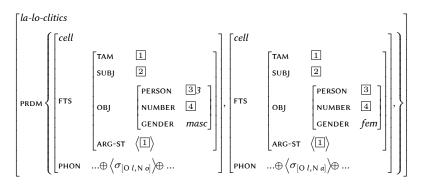
What could not work, is to try to define "floating" morphemes, which then attach at the right place:

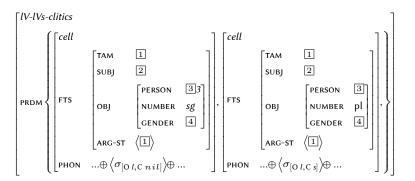
Because it stops being a relational system (and it also probably does not technically work)

What could work

Build complex cells incrementally:

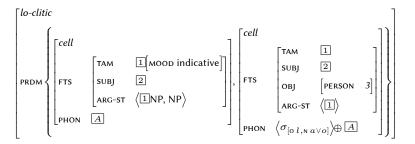






What could work:

Underspecified relations between cells:



Concluding remarks

The take home message:

- In order to talk about analogy, we need a proper formalization of analogy.
- I have presented a possible path, others are possible.
- The X-notation is **not** good enough.

With the model I propose, we can:

- express partial analogies,
- express abstract analogies,
- use underspecification to express general patterns (e.g. 'morphemes')

Questions

One tricky issue I am aware of:

• Possition classes (e.g. Swahili)

Some important open questions:

- Can all and any generalization be expressed with this system? (i.e. what can analogy not do?)
- How should we represent phonological strings? what is the right level of abstraction? how much phonetics do we want to include?
- How much phonology can we get rid of? (e.g. we can do harmony without phonological process, using underspecification)
- How should we think about redundant constraints?
- What about derivation? (can all derivational cells be listed for a lexeme? would this be required for the model to work?)

That's it...

Derivation

	INF	1sg	SG		SG
'to populate' 'to tell' 'to dream'	poblar contar soñar	pueblo cuento sueño	pueblo cuento sueño	ʻtown' ʻtale' ʻdream'	población
'to sing' 'to distinguish'	cantar distinguir	canto distingo	canto	'song' 'distinction'	canción distinción

ATR harmony

Kasem number system:

singular	plural	gloss
yukwala	yukwalı	headscarf
yukwələ	yukwəli	small skull
valu	vala	farmer
vəlu sugu sugu	vələ $\operatorname{sum} \sim \operatorname{suni}$ $\operatorname{sum} \sim \operatorname{suni}$	traveller guinea-fowl knife
peeli	peelə	shovel
pεεlι	pεεla	sardine

$$\begin{bmatrix} lexeme-harmony-atr \\ PAR \left\{ \begin{bmatrix} PHON / \begin{bmatrix} vowel \\ ATR \ 1 \end{bmatrix} ... \begin{bmatrix} vowel \\ ATR \ 1 \end{bmatrix} \# / \ \end{bmatrix} \right\}$$

$$\begin{bmatrix} lexeme-class-ia \\ & & \\ &$$